



Collaborative Funding Grant (CFG)

CFG Program Guidelines

This grant is jointly sponsored by the Kenan Institute for Engineering, Technology, and Science at North Carolina State University and the North Carolina Biotechnology Center. For more information about the Kenan Institute, visit www.ncsu.edu/Kenan.

Proposals must be submitted via the Biotechnology Center online application by **NOON** on the deadline date.

⊗ *Proposals sent by fax, e-mail or hard copy will not be accepted.*

Program Requirements

Purpose

To support a postdoctoral researcher or technician in a university research laboratory designated as a *Kenan Institute/North Carolina Biotechnology Center Fellow*, who will perform a project of commercial interest under the guidance of a principal investigator. This grant is designed to:

- Generate discoveries that the company partner might develop further to stimulate economic development and create jobs.
- Promote long-term cooperation among North Carolina universities, nonprofit research institutes, and North Carolina biotechnology companies.

Funding and Matching Requirements

- Up to \$50,000 awarded per year for two years (to a total of \$80,000 per year with matching funds) including contributions

from these sources: Biotechnology Center, Kenan Institute, partnering company, and applying university. As indicated in the chart below, the size of the partnering company determines the amount of contributions from each source.

- General guideline for the \$60,000 portion of the award: \$45,000 should be used for salary support of the postdoctoral researcher or technician; \$15,000 may be used for supplies, travel, or other expenses that support the project.
- ⊗ *Center grants do not support indirect costs, patenting costs, or cost of clinical trials.*
- ⊗ *Company contribution should be cash, not in-kind.*
- After the award is announced, the company must release funds before funds will be released from the Kenan Institute and the North Carolina Biotechnology Center.
- All award funds are disbursed directly to the university or nonprofit research institute of the principal investigator.

Who May Apply

Applicants must be North Carolina-based and associated either with a nonprofit research institute or principal investigator from an academic institution *in partnership with* a North Carolina-based company engaged in biotechnology research.

- For grants awarded to academic institutions, the principal investigator must hold a full-time tenure-track faculty appointment.

Company Size	Company Contribution	Center/Kenan Contribution	University In-kind Contribution*	Total with Match
1–10 employees	\$10,000	\$50,000	\$20,000	\$80,000
11–100 employees	\$15,000	\$45,000	\$20,000	\$80,000
101 or more employees	\$20,000	\$40,000	\$20,000	\$80,000

*Include such items as faculty release time, computing resources, and instrument use fees.

- For grants awarded to nonprofit institutions, the principal investigator must hold a full-time permanent appointment.
- Principal investigator(s) that hold a position in the partnering company are eligible to apply but must provide a statement demonstrating that the relationship has been disclosed to the appropriate university office and that a management plan has been put in place with the university to mitigate any potential conflict of interest issues.

Company Criteria

- 1) A North Carolina company with:
 - demonstrated IP owned or licensed by the company *and*
 - a CEO who resides in NC, or documents significant company presence in NC as required by Center Staff

OR

- 2) A National or Multinational Company with significant presence in North Carolina such as a Research or Manufacturing Facility or Regional Headquarters.

Preliminary Consultation

Although not required, a preliminary consultation may be helpful to the applicant. To find out if a project that you plan to submit qualifies for this grant—or to obtain additional information—call the **Core Programs Director** at 919-549-8842 or send an e-mail to virginia_deluca@ncbiotech.org. Please contact us *at least* two weeks prior to the deadline if you would like to request a consultation.

Review Process

The review process has three parts:

- Examination by Center staff, to ensure the proposed project relates to biotechnology and meets basic requirements for the grant.
- Peer review by a combination of business and out-of-state academic reviewers. Both Scientific Plan and Company Business Plan will be reviewed.
- Final review and award by the designated committee of the Biotechnology Center's Board of Directors.

Evaluation Criteria

- Logical and technically feasible project plan.
- Level of impact on company's efforts to commercialize biotechnology or related bioscience products or services.
- Potential for commercial or economic return to the company and to North Carolina.
- Thorough knowledge of the current state of the field and technology required for successful completion of the project.
- Reasonable probability of success taking into account staff qualifications, availability of space and equipment, projected timetable, etc.
- Budget sufficient but not inflated.
- Appropriate duration for project.

Post-award Reporting

Brief post-award reports are required. More information on reporting requirements will be provided on request or if a grant is awarded.

Information Release

It is the policy of the North Carolina Biotechnology Center to announce awards through press releases and other publications. These communications typically include the Project Title and Public Information Summary that are provided by the applicant on the proposal Cover Sheet. No information is released on declined proposals.

Confidentiality

The Biotechnology Center will endeavor to maintain the confidentiality of all applicants' information. However, the applicant is responsible for not disclosing any information that should not be reviewed outside of the Biotechnology Center.

Science and Technology Development Program

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See our grant application Web page at
www.ncbiotech.org/grants